

Date Time Stamp
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2019 OCT 15 PM 4:25

SUBMIT DIRECTLY TO THE OFFICE OF PUBLIC RECORDS IN 232 HART BUILDING

Description/Title of Attached Forms: PRIVATE SPONSOR TRAVEL CERTIFICATION FORM AND INVITATION FROM SPONSOR

Purpose of Amendment (describe the reason for amending original submission): ORIGINAL SUBMISSION DID NOT OBTAIN THE FINAL VERSION OF THE TWO FORMS LISTED ABOVE. FILING IS BEING AMENDED IN ORDER TO SUBMIT FINAL VERSIONS OF THESE DOCUMENTS TO THE PUBLIC RECORD.

(Signature of Traveler)

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The Senate Member, officer, or employee **MUST** also provide a copy of this form, along with the appropriate travel authorization and reimbursement form, to the Office of Public Records (OPR), Room 232 of the Hart Building, within thirty (30) days after the travel is completed.

- Private Sponsor Certification - Page 1 of 4

15. Briefly describe the educational activities performed by each sponsor (other than sponsoring congressional trips):

The Aspen Institute holds numerous educational activities, including conferences, roundtables, briefings and other nonpartisan forums on critical issues facing the U.S. and the world. AICP, specifically, offers nonpartisan educational events for members of Congress and senior congressional staff, with each event offering a leading scholar on a key domestic or international issue.

16. Total Expenses for Each Participant:

	Transportation Expenses	Lodging Expenses	Meal Expenses	Other Expenses
<input checked="" type="checkbox"/> Good Faith estimate	Total: \$13,650 \$6825 for the senator	Total: \$1200 \$1200 for the senator	Total: \$1,210 \$605 for the senator	Total: \$724 \$362 for meeting room expenses for the senator
<input type="checkbox"/> Actual Amounts	\$6825 for the senator's spouse	\$0 for the senator's spouse	\$605 for the senator's spouse	\$362 meeting room expenses for the senator's spouse

17. State whether a) the trip involves an event that is arranged or organized *without regard* to congressional participation or b) the trip involves an event that is arranged or organized *specifically with regard* to congressional participation:

This event is arranged specifically with regard to congressional participation.

18. Reason for selecting the location of the event or trip

Prague enables scholars from Eurasia to participate in the conference sessions and allows for a meeting with the Czech Republic Deputy Prime Minister.

19. Name and location of hotel or other lodging facility:

Alcron Hotel Prague

20. Reason(s) for selecting hotel or other lodging facility:

This hotel offers the required private meeting space and conference technology. Security was also a key factor in selecting this hotel.

21. Describe how the daily expenses for lodging, meals, and other expenses provided to trip participants compares to the maximum per diem rates for official Federal Government travel:

Lodging and meals are below published per diem rates.

22. Describe the type and class of transportation being provided. Indicate whether coach, business-class or first class transportation will be provided. If first-class fare is being provided, please explain why first-class travel is necessary:

Roundtrip business class flight on a commercial airline carrier and coach ground transportation from/to Prague airport

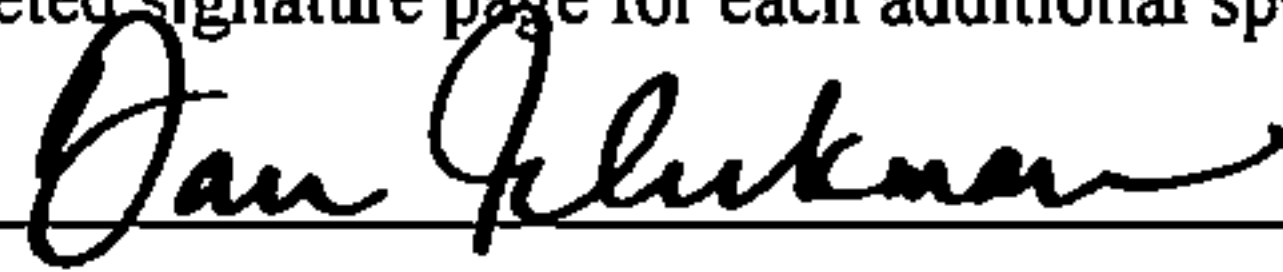
23. ☒ I represent that the travel expenses that will be paid for or reimbursed to Senate invitees do not include expenditures for recreational activities, alcohol, or entertainment (other than entertainment provided to all attendees as an integral part of the event, as permissible under Senate Rule 35).

24. List any entertainment that will be provided to, paid for, or reimbursed to Senate invitees and explain why the entertainment is an integral part of the event:

None

25. I hereby *certify* that the information contained herein is true, complete and correct. (For trips involving more than one sponsor, you *must* include a completed signature page for each additional sponsor):

Signature of Travel Sponsor: _____



Name and Title: Dan Glickman, Vice President and Director of the Congressional Program

Name of Organization: The Aspen Institute Congressional Program

Address: 2300 N St. NW Ste. 700 Washington, DC 20037

Telephone Number: 202-736-5859 (Lisa Jones)

Fax Number: NA

E-mail Address: lisa.jones@aspeninst.org

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Appendix

Question 5: Name and title of Senate invitees:

Sen. Tom Carper and Mrs. Carper; Sen. Tom Udall and Mrs. Udall; Sen. Josh Hawley and Mrs. Hawley

Question 12: Briefly describe the role of each sponsor in organizing and conducting this trip:

The Aspen Institute has received general support and unrestricted funds from The Carnegie Corporation of New York foundation, Henry Luce foundation, Democracy Fund foundation, and Rockefeller Brothers Fund foundation to support the Aspen Institute Congressional Program. These foundation funds were not earmarked for a specific trip.

Question 13: Briefly describe the stated mission of each sponsor and how the purpose of the trip relates to that mission:

The Prague conference “U.S. Policy Toward Eurasia and the Role of the U.S. Congress” intends to examine federal policy issues related to Eurasia including the geopolitics and power dynamics, the interests of China and Russia and their influence in the region, and U.S. strategies in the region.

Question 16: Breakdown of transportation expenses

Sen. Carper: Air travel roundtrip - \$6625; ground transportation from/to airport: \$200

Mrs. Carper: Air travel roundtrip - \$6625; ground transportation from/to airport: \$200

U.S. POLICY TOWARD EURASIA AND THE ROLE OF THE U.S. CONGRESS

The Aspen Institute Congressional Program
May 27 – June 2, 2019
Prague, Czech Republic

SATURDAY, MAY 25

Beginning personal travel prior to the conference, Sen. Tom Carper and Mrs. Carper depart Philadelphia at 6:45 pm on American Airlines Flight #52.

SUNDAY, MAY 26

Sen. Tom Carper and Mrs. Carper arrive in Prague at 9:15 am and continue travel at personal expense.

MONDAY, MAY 27

American participants depart the U.S. late afternoon and early evening.

Sen. Tom Carper and Mrs. Carper continue travel at personal expense.

TUESDAY, MAY 28

All participants arrive in Prague mid-to late afternoon

Sen. Tom Carper and Mrs. Carper join the conference.

6:30 PM – 9:00 PM

Working Dinner

U.S. POLICY TOWARD EURASIA: A EUROPEAN PERSPECTIVE

Eastern Europe is the focal point on the dividing line between Eurasia and the western alliance. The former Speaker of the Czech Parliament will provide his perspective of the policy issues between the U.S. and Eurasia. Discussion will focus on the opportunities, challenges and potential solutions regarding U.S. relations with Eurasia. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily.

*Jan Hamáček, Deputy Prime Minister, Former Speaker,
Chamber of Deputies, The Czech Parliament, Prague*

WEDNESDAY, MAY 29

7:30 AM – 9:00 AM

Breakfast is Available

9:00 AM

INTRODUCTION AND FRAMEWORK OF THE CONFERENCE

*Dan Glickman, Executive Director,
Aspen Institute Congressional Program*

9:15 AM – 11:00 AM

Roundtable Discussion

**EURASIAN GEOPOLITICS AND GREAT POWER DYNAMICS:
WHY IT MATTERS**

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- How significant and how durable is this alignment? Has Washington paid it adequate attention? What U.S. interests are at stake in the region?
- What is the state of the U.S.-led international order with respect to Eurasia?
- Do Moscow and Beijing evince a genuinely shared vision, or is their alignment principally about countering U.S. influence?
- How have U.S. allies and partners across Eurasia responded?
- What have been the key pillars of U.S. strategy toward the region over the recent past?

11:00 AM – 11:15 AM	<i>Break</i>
11:15 AM – 1:00 PM	Roundtable Discussion Continues
1:00 PM – 2:00 PM <i>Working Lunch</i>	Discussion continues between members of Congress and scholars on the challenges for U.S. policy regarding Eurasia.
2:30 PM – 4:00 PM <i>Individual Discussions</i>	Members of Congress and scholars meet individually to discuss U.S. foreign policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Thomas Graham, Evan Feigenbaum, and Matthew Rojansky.
6:30 PM – 9:00 PM <i>Working Dinner</i>	Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the key policy issues at stake in U.S.-relations with Eurasia and their importance.

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Breakfast is Available

CHINA'S INTERESTS AND OBJECTIVES OF ITS BELT AND ROAD INITIATIVE

- How does Beijing see its position within Eurasia? Does Beijing harbor territorial ambitions, especially in Central Asia or Russia's Far East, parts of which were controlled by China in the past?
- Do China's internal challenges with its restive Muslim populations limit its ability to project power and influence into Central and South Asia or the greater Middle East?
- How does China use the newly established Asian Infrastructure Investment Bank to advance its influence with developing countries in the Eurasian region?

*Philippe Le Corre, Senior Fellow, Mossavar-Rahmani
Center for Business & Government,
Harvard Kennedy School*
*Robert Daly, Director, The Kissinger Institute,
The Wilson Center*

Break

RUSSIA'S INTERESTS AND INFLUENCE IN THE REGION

The freezing out of Russia from Western-led global markets and diplomatic formats following its invasion of Ukraine has pushed Moscow to regard China more as a potential partner in its efforts

not only to defend against U.S. pressure, but to develop its own economy and ensure domestic political stability and security. Russia remains the dominant security actor in Eurasia, supplying its former Soviet clients, China and other regional states with advanced military hardware, and leading major military exercises in all domains, recently with Chinese participation. Meanwhile cleavages within and between Europe and the United States have tested the traditional bonds of transatlantic solidarity.

- What does Moscow's assertion of its own "pivot to Asia" and a distinctive "Eurasian identity" actually mean?
- Do Russians think of Eurasia as something foreign, a "near abroad," or a "sphere of privileged influence?"
How will the Kremlin respond to continuing political, economic and demographic change along its borders, especially in the former Soviet republics of Central Asia, a region bordering Russia, China and Afghanistan?
- What is the significance of Russian-led international groups like the Eurasian Economic Union, the Collective Security Treaty Organization, and the Shanghai Cooperation Organization?
- How does U.S. policy regarding China take into account China's growing interests in Eurasia?

Dmitri Trenin, Director, Carnegie Moscow Center, Moscow

1:00 PM – 2:00 PM
Working Lunch

Discussion continues between members of Congress and scholars on the challenges for U.S. policy regarding Eurasia.

2:30 PM – 4:00 PM
Individual Discussions

Members of Congress and scholars meet individually to discuss U.S. foreign policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Philippe Le Corre, Robert Daly, and Dmitri Trenin.

6:30 PM – 9:00 PM
Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars will discuss with members of Congress their perspective on China's and Russia's interests in the context of U.S. relations with Eurasia.

FRIDAY, MAY 31

7:30 AM – 8:30 AM

Breakfast is Available

9:00 AM – 11:00 AM
Roundtable Discussion

U.S. STRATEGY, ALLIES, AND RESOURCES IN EURASIA

The Administration and Congress have responded to Russia's regional and global challenges with strong sanctions, expulsions of

- To what degree has the U.S. actually “pivoted” toward Asia in the past decade?
- What have been and what should be Washington’s principal policy goals toward the region as a whole?
- How should the U.S. balance its broader regional goals with its interests regarding individual regional states?

What instruments have proven most effective in advancing U.S. interests, and how available are they today?

- How has U.S. policy been understood by allies and partners in the region?

Are Beijing or Moscow effectively able to drive wedges between the U.S. and its regional allies?

11:00 AM	<i>Break</i>
11:15 – 1:00 PM	<i>Roundtable Discussion Continues</i>
1:00 PM – 2:00 PM <i>Working Lunch</i>	Discussion continues between members of Congress and scholars on the challenges for U.S. policy regarding Eurasia.
2:30 PM – 4:00 PM <i>Individual Discussions</i>	Members of Congress and scholars meet individually to discuss U.S. foreign policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Stacy Closson and Nikolas Gvosdev.
6:30 PM – 9:00 PM <i>Working Dinner</i>	Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful

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7:00 AM – 8:30 AM

9:00 AM – 11:00 AM
Roundtable Discussion

The potential alignment between Chinese and Russian interests has security as well as economic implications for the U.S. beyond Eurasia, including the security of U.S. interests in cyberspace, domestic political processes, and the U.S. homeland itself. U.S. officials have confirmed that Russia and China are both major sponsors of cyber attacks, internet-based influence and radicalization campaigns, including election meddling, and the increasing use of artificial intelligence as a military tool against U.S. targets. As the Director of National Intelligence has said, "the warning lights are blinking red" about what is coming next--more interference, attacks, and near miss incidents, any of which could lead to dramatic escalation.

- Ivana Karásková, Research Fellow, Association for International Affairs; Founder, Chinfluence, Prague***
Emily Parker, Future Tense Fellow, New America Foundation

Break

Members of Congress will reflect on the previous discussions and offer their ideas for policy implications.

Discussion continues between members of Congress and scholars on the challenges for U.S. policy regarding Eurasia.

Members of Congress and scholars meet individually to discuss U.S. foreign policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Ivana Karásková and Emily Parker.

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars and members of Congress will reflect on the discussions and analysis of the past four days to reach conclusions for the most appropriate policies for U.S. relations with Eurasia.

Resource Scholars:

Jiří Schneider, Executive Director, Aspen Institute Central Europe, Prague

Igor Zevelev, Professor of National Security Studies, George Marshall Center, Garmisch

(The resource scholars are full conference participants to enhance the roundtable discussions with no specifically-assigned panel topic).

SUNDAY, JUNE 2

Participants depart Prague mid-morning and arrive in the United States mid- to late afternoon

Sen. Tom Carper and Mrs. Carper depart Prague at 11:20 am on American Flight # 53 and arrive in Philadelphia at 2:50 pm.



Dan Glickman

Executive Director

Congressional Program

February 11, 2019

The Honorable Thomas Carper
United States Senate
Washington, DC 20510

Dear Tom:

I would like to invite you and Martha to participate in a congressional conference on ***U.S. Policy toward Eurasia and the Role of the U.S. Congress***, May 27-June 2, 2019, in Prague, Czech Republic. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

A rising China, an increasingly aggressive Russia, and a disintegrating Europe are rapidly reshaping the politics of Earth's largest landmass – changes which will impact over five billion people and have direct links to U.S. policy. Military tension, trade, competition for resources and energy, and technological dominance (cybersecurity and misuse of social media) are all major issues of key concern on our agenda. We have assembled a team of renowned U.S. and international analysts to review the best options for U.S. policy.

Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from established foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the Senate Select Ethics Committee, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

This program is designed for Members of both the Senate and the House. Please note that because the House has adopted rules that preclude registered lobbyists from attending our events, if your accompanying spouse or family member is a registered lobbyist, the rules would bar their participation.

Departure will be on Monday, May 27th and we will return on Sunday, June 2nd. Should you accept the invitation, it is required that you participate through the duration of the conference.

2300 N St., NW
Suite 700
Washington, DC 20037
PH 202.736.5825
FX 202.785-2737
www.aspeninstitute.org

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 450 Members of Congress have participated in the 137 conferences we have conducted.

If you have any questions, please feel free to call me at 202-736-5825.

Sincerely,

Dan

Dan Glickman
Executive Director
Aspen Institute Congressional Program

U.S. POLICY TOWARD EURASIA AND THE ROLE OF THE U.S. CONGRESS

THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

May 27-June 2, 2019 Prague, Czech Republic

As of 2/7/2019

AGENDA OVERVIEW

MONDAY, May 27:

American participants depart the USA

TUESDAY, May 28:

Pre-Dinner Speaker

A EUROPEAN PERSPECTIVE: A VIEW FROM PRAGUE

Jan Hamáček, Deputy Prime Minister, Former Speaker, Chamber of Deputies, The Czech Parliament, Prague (invited)

WEDNESDAY, May 29:

Roundtable Discussion, 9 am-1 pm

EURASIAN GEOPOLITICS, GREAT POWER DYNAMICS, AND WHY IT MATTERS

Thomas Graham, Managing Director, Kissinger Associates

Evan Feigenbaum, Vice President for Studies, Carnegie Endowment for International Peace, former Deputy Assistant Secretary of State for Central Asia

THURSDAY, May 30:

Roundtable Discussion, 9 am-11 am

CHINA'S INTERESTS AND OBJECTIVES OF ITS BELT AND ROAD INITIATIVE

Robert Daly, Director, The Kissinger Institute, The Wilson Center

Philippe Le Corre, Senior Fellow, Mossavar-Rahmani Center for Business & Government, Harvard Kennedy School

Roundtable Discussion, 11 am-1 pm

RUSSIA'S INTERESTS AND INFLUENCE IN THE REGION

Marlene Laruelle, Director, Central Asia Program, Elliott School of International Affairs, George Washington University

Dmitri Trenin, Director, Carnegie Moscow Center

FRIDAY, May 31:

Roundtable Discussion, 9 am – 1 pm

U.S. STRATEGY, ALLIES AND RESOURCES IN EURASIA

Nikolas Gvosdev, Professor of National Security Affairs, U.S. Naval War College

Stacy Closson, Senior Adjunct Professional Lecturer, School of International Service, American University

SATURDAY, June 1:

Roundtable Discussion, 9 am-11 am

NEW TECHNOLOGIES AND EVOLVING THREATS: CYBER, SOCIAL MEDIA AND INFORMATION OPERATIONS

Ivana Karásková, PhD candidate, Charles University, founder, Chinfluence—Mapping Chinese Influence in Central Europe, Prague

Emily Parker, Future Tense Fellow, New America Foundation, co-founder of Code4Country, for open-code between the U.S. and Russia

Roundtable Discussion, 11 am-1 pm

POLICY REFLECTIONS

Members of Congress only

SUNDAY, June 2:

Participants return to U.S.

Resource Scholars:

Jiří Schneider, Executive Director, Aspen Institute Central Europe

Igor Zevelev, Professor of National Security Studies, George Marshall Center, Garmisch